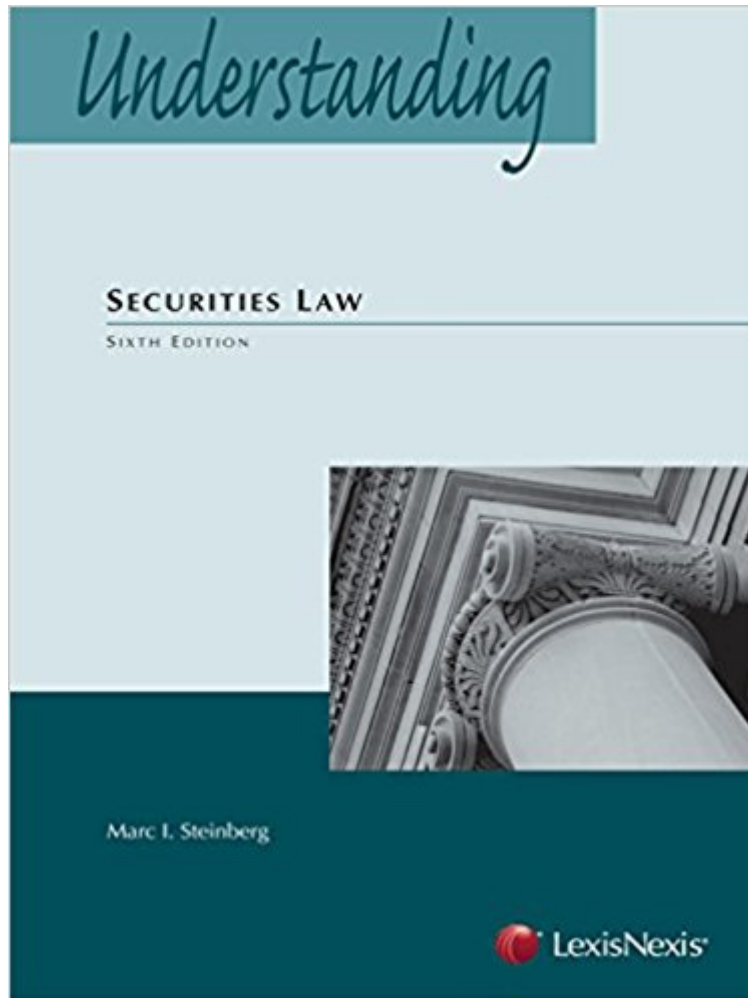


The book was found

Understanding Securities Law (2014)



Synopsis

This Understanding treatise follows a logical sequence of analysis of a securities issue. The author begins by defining a "security" and registration exemptions, and then continues through the process for non-exempt transactions. Understanding Securities Law clearly, thoroughly, and concisely addresses the subjects covered in basic Securities Regulation courses, including: The definition of securities exemptions from registration; the registration framework and process; Sarbanes-Oxley Act; SEC Securities Act Offering Rules; Resales and reorganizations; Due diligence; Liabilities and remedies; Affirmative disclosure duties; Insider trading; SEC enforcement; and Professional responsibility. The author also includes a glossary of key terms, statutes, rules, regulations and forms and schedules, and comparative charts synthesizing previously discussed materials. The text covers the regulation of public and privately held companies under the Securities Acts, SEC "fraud" concepts, civil liabilities under the securities laws, and state "Blue Sky" laws. It also discusses the Sarbanes-Oxley legislation, SEC public offering rules, SEC regulations on the resale of securities, and recent federal Supreme Court and appellate court decisions.

Book Information

Paperback: 550 pages

Publisher: LexisNexis; Sixth edition (April 23, 2014)

Language: English

ISBN-10: 1422482227

ISBN-13: 978-1422482223

Product Dimensions: 1.2 x 6.5 x 9.2 inches

Shipping Weight: 1.8 pounds (View shipping rates and policies)

Average Customer Review: 4.7 out of 5 stars 4 customer reviews

Best Sellers Rank: #749,860 in Books (See Top 100 in Books) #67 in Books > Law > Business > Securities #7573 in Books > Textbooks > Law

Customer Reviews

Very easy to read and helpful. With supplements it is always down to actually using it in deciding how helpful it proves to be. This one helped me and I am pretty sure it will do the same for others. The "Understanding" series is good as I have used previous ones in the past for other subjects. Would recommend.

Great Hornbook for Sec. Reg. Goes through the 33 Act with precision and great detail.

Clear and concise.

Very satisfied with this purchase.

[Download to continue reading...](#)

Understanding Securities Law (2014) 2014 ICD-10-CM Draft Edition, 2014 ICD-10-PCS Draft Edition, 2014 HCPCS Professional Edition and CPT 2014 Professional Edition Package, 1e
Understanding Securities Law (Understanding Series) Working With Contracts: What Law School Doesn't Teach You, 2nd Edition (PLI's Corporate and Securities Law Library) Model Tax Convention on Income and on Capital: Condensed Version 2014: Edition 2014 (Volume 2014) Understanding Bond Markets: Guide to the innerworkings of today's Debt Securities Markets The Logic of Securities Law Banking and Securities Regulation in the Netherlands (Dutch Business Law) The Law of Securities Regulation (Hornbooks) Wiley FINRA Series 63 Exam Review 2017: The Uniform Securities State Law Examination Securities Regulation (Gilbert Law Summaries) The Law of Securities Regulation, 6th Edition Hornbook (Hornbooks) Securities Law (Concepts and Insights) Securities Law, 5th (Concepts and Insights Series) Technology Transactions: A Practical Guide to Drafting and Negotiating Commercial Agreements (Corporate and Securities Law Library) Law School Handbook Contracts: UCC / Common Law definitions and outlines A Law School e-book: Authors of 6 Published Bar Exam Essays!!!!!! Contracts law A - Z 90% Law School Essays: Contracts * A law e-book: Contract law issues and definitions and how to argue them from 70% to 90% - Big Rests Law Study Method - produces model essays Celebrity Bar Exams - Con law Criminal law Evidence Contracts Wills Real Property: Law school books / Law school exams The Law of Trusts (Prime Members Can Read This Book Free): (e law-book), Authored by writers of 6 published bar essays Including Trusts Law!!! A - Z of Trusts Law..... Evidence, Constitutional law, Contracts Includes Essay prep and MBE's * Law school e-book: "Solutional writing" is what passes law school. Pre-exam law school help LOOK INSIDE!

[Contact Us](#)

[DMCA](#)

[Privacy](#)

